

Docket No. **BC-0112-P04****FAX RECEIVED**

DEC 19 2001

GROUP 3700

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

In re Application of: STEELE, Chad; et al.)

Serial No.: 09/940955)

Filed: 08/27/2001)

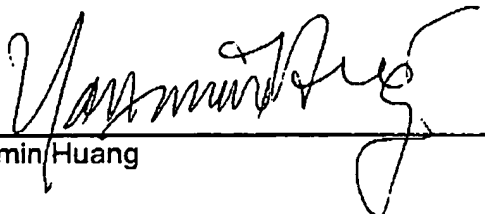
For: EXTRACORPOREAL BLOOD PROCESSING)
METHODS AND APPARATUS)Examiner: Not yet assigned
Group Art Unit: 3762

CERTIFICATE OF FACSIMILE

I hereby certify that the following items are being facsimile transmitted to the United States Patent Office

Fax No: 703-872-9302, on this date of 12/17/2001

1. Transmittal Form (1 page)
2. Fee Transmittal for FY2002 with Deposit Account No. (1 page)
3. Information Disclosure Statement (2 pages)
4. PTO Form 892 (1 page)
5. PTO Form 1449 (5 pages)



Yanmin Huang

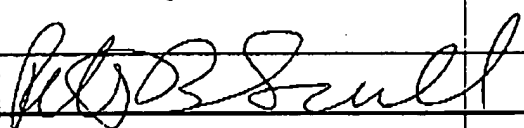
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PTO/SB/21 (08-00)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application	09/940955	
	Filing Date	08/27/2001	
	First Named	STEELE, Chad; et al.	
	Group Art Unit	3762	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	11	Attorney Docket Number	HC-0112-P04

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Terminal Disclaimer	PTO Form 802, PTO Form 1449, Certificate of Facsimile
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Request for Refund	
	<input type="checkbox"/> CD, Number of CD(s)	
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Peter B. Scull, Reg. No. 37,932 (Customer No. 24994)
Signature	
Date	December 17, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:	
Typed or printed name	Yanmin Huang
Signature	
Date	

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$0.00

Complete if Known

Application Number	09/940955
Filing Date	08/27/2001
First Named Inventor	STEELE, Chad; et al.
Examiner Name	Not yet assigned
Group Art Unit	3762
Attorney Docket No.	BC-0112-P04

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	03-2316
Deposit Account Name	Gambro, Inc.

- ☒
- Charge Any Additional Fee Required under 37 CFR §§ 1.16 and 1.17
-
- ☐
- Applicant claims small entity status. See 37 CFR § 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1)			

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
20**	= 0	X	= 0.00	
Independent Claims	- 3**	= 0	X	= 0.00
Multiple Dependent				

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 85	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non - English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,080	228 540	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR § 1.17(q)	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)**SUBMITTED BY**

Name (Print/Type)	Peter B. Seull
Signature	<i>Peter B. Seull</i>

Registration No.
(Attorney/Agent)

37,932

Complete if applicable

Telephone

303-205-2560

Date

December 17, 2001

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To: Toni

DEC 19 2001

Company: USPTO - TC3700

GROUP 3700

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PRIVILEGED AND CONFIDENTIAL ATTORNEY-CLIENT INFORMATION

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<input checked="" type="checkbox"/>	Peter Scull	(303) 205-2560	<input type="checkbox"/>	Yanmin Huang	(303) 542-5224
<input type="checkbox"/>	Laura Butterfield	(303) 231-4270	<input type="checkbox"/>	Holly Hartshorn	(303) 239-2371

Subject: Resend the IDS for the bellow-identified Patent Application

Date: 12/18/2001

Page 1 of 12

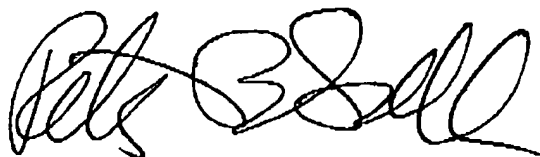
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